



RECEIVED  
CITY CLERK  
C & C OF HONOLULU

IN REPLY REFER TO:  
CMS-AP00-01219

HONOLULU AUTHORITY for RAPID TRANSPORTATION 2015 APR 17 AM 9:27

Daniel A. Grabauskas  
EXECUTIVE DIRECTOR AND CEO

BOARD OF DIRECTORS

Ivan M. Lui-Kwan, Esq.  
CHAIR

Donald G. Horner  
VICE CHAIR

George I. Atta  
Robert Bunda  
Michael D. Formby  
Ford N. Fuchigami  
William "Buzz" Hong  
Keslie W.K. Hui  
Damien T.K. Kim  
Carrie K.S. Okinaga, Esq.

April 15, 2015

The Honorable Ann H. Kobayashi, Chair  
and Members of the Budget Committee  
Honolulu City Council  
530 South King Street, Room 202  
Honolulu, Hawaii 96813

Dear Chair Kobayashi and Councilmembers:

Subject: Bill 17 (2015) Relating to the Honolulu Authority for Rapid Transportation (HART)  
Operating Budget and Bill 18 (2015) Relating to the HART Capital Budget

In response to your request during the Budget Committee Meeting held on April 8, 2015, please find revisions to Bill 17 (2015) and Bill 18 (2015) relating to HART's Capital and Operating Budgets attached.

If you should have any questions, please do not hesitate to contact me.

Sincerely,

Daniel A. Grabauskas  
Executive Director and CEO

Attachments

cc: HART Board of Directors  
Mr. Roy K. Amemiya, Jr., Managing Director  
Councilmember Ernest Y. Martin, District II  
Councilmember Ikaika Anderson, District III  
Councilmember Brandon J.C. Elefante, District VIII  
Councilmember Ron Menor, District IX

RECEIVED  
CITY COUNCIL  
HONOLULU, HAWAII

2015 APR 17 A 8:24

RECEIVED

DEPT. COM. 221



**CITY COUNCIL**  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

ORDINANCE \_\_\_\_\_

BILL \_\_\_\_\_

---

**A BILL FOR AN ORDINANCE**

---

RELATING TO THE HONOLULU AUTHORITY FOR RAPID TRANSPORTATION OPERATING BUDGET FOR THE FISCAL YEAR JULY 1, 2015 TO JUNE 30, 2016.

BE IT ORDAINED by the People of the City and County of Honolulu:

SECTION 1. The revenues from the following sources estimated for the fiscal year July 1, 2015 to June 30, 2016 are hereby provided and appropriated for the purposes set forth in Sections 2 through 3:

**OPERATING FUNDS**

<u>FUND CODE</u>	<u>SOURCE OF FUNDS</u>	<u>AMOUNT</u>	<u>LESS INTERFUND TRANSFER</u>	<u>NET AMOUNT</u>
GN	General Fund	\$231,105,000	\$231,105,000	\$0
TR	Transit Fund	29,212,800	10,031,500	19,181,300
	<b>TOTAL</b>	<b>\$260,317,800</b>	<b>\$241,136,500</b>	<b>\$19,181,300</b>



**CITY COUNCIL**  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

ORDINANCE \_\_\_\_\_

BILL \_\_\_\_\_

**A BILL FOR AN ORDINANCE**

SECTION 2. The monies described in Section 1 for the fiscal year July 1, 2015 to June 30, 2016 are appropriated as indicated to the following activities in the UTILITIES OR OTHER ENTERPRISES function.

FUNCTIONS, PROGRAMS & ACTIVITIES	NUMBER OF POSITIONS (F.T.E.)	SALARIES	CURRENT EXPENSES	EQUIPMENT	TOTAL ALL FUNDS	SOURCE OF FUNDS
<b><u>UTILITIES OR OTHER ENTERPRISES</u></b>						
<b>MASS TRANSIT:</b>						
<b>Honolulu Authority for Rapid Transportation</b>						
Rapid Transit	139.00	\$9,497,600	\$5,156,300	\$6,000	\$14,659,900	\$14,659,900 TR
<b>TOTAL UTILITIES OR OTHER ENTERPRISES</b>	<b>139.00</b>	<b>\$9,497,600</b>	<b>\$5,156,300</b>	<b>\$6,000</b>	<b>\$14,659,900</b>	<b>\$14,659,900</b>

**UTILITIES OR OTHER ENTERPRISES  
SOURCE OF FUNDS**

TR Transit Fund	\$14,659,900
<b>TOTAL UTILITIES OR OTHER ENTERPRISES</b>	<b>\$14,659,900</b>



**CITY COUNCIL**  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

ORDINANCE \_\_\_\_\_

BILL \_\_\_\_\_

**A BILL FOR AN ORDINANCE**

SECTION 3. The monies described in Section 1 for the fiscal year July 1, 2015 to June 30, 2016 are appropriated as indicated to the following activities in the MISCELLANEOUS function.

FUNCTIONS, PROGRAMS & ACTIVITIES	NUMBER OF POSITIONS (F.T.E.)	SALARIES	CURRENT EXPENSES	EQUIPMENT	TOTAL ALL FUNDS	SOURCE OF FUNDS
<b>MISCELLANEOUS</b>						
RETIREMENT AND PENSION CONTRIBUTIONS:						
Retirement System Contributions – Employer's Share for HART	0.00	\$0	\$1,457,248	\$0	\$1,457,248	\$1,457,248 TR
FICA Tax – Employer's Share for HART	0.00	0	719,222	0	719,222	719,222 TR
Hawaii Employer-Union Health Benefits Trust Fund for HART	0.00	0	1,889,505	0	1,889,505	1,889,505 TR
OTHER MISCELLANEOUS						
Workers' Compensation for HART	0.00	0	250,083	0	250,083	250,083 TR
Unemployment Compensation for HART	0.00	0	10,342	0	10,342	10,342 TR
Provision for Other Post-Employment Benefits for HART	0.00	0	195,000	0	195,000	195,000 TR
TRANSFERS TO OTHER FUNDS:						
Transfer to General Fund for Central Administrative Services Expenses	0.00	0	1,010,100	0	1,010,100	1,010,100 TR
Transfer to General Fund for Services Provided to Honolulu Authority for Rapid Transportation	0.00	0	1,021,400	0	1,021,400	1,021,400 TR
Transfer to General Fund for Debt Service	0.00	0	8,000,000	0	8,000,000	8,000,000 TR
Transfer to Transit Fund	0.00	0	231,105,000	0	231,105,000	231,105,000 GN
<b>TOTAL MISCELLANEOUS</b>	<b>0.00</b>	<b>\$0</b>	<b>\$245,657,900</b>	<b>\$0</b>	<b>\$245,657,900</b>	<b>\$245,657,900</b>

**MISCELLANEOUS  
SOURCE OF FUNDS**

GN	General Fund	\$231,105,000
TR	Transit Fund	14,552,900
<b>TOTAL MISCELLANEOUS</b>		<b>\$245,657,900</b>



**CITY COUNCIL**  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

ORDINANCE \_\_\_\_\_

BILL \_\_\_\_\_

---

**A BILL FOR AN ORDINANCE**

---

SECTION 4. The sums appropriated above are totalled as follows:

<u>FUND</u>		<u>GROSS APPROPRIATIONS</u>		
<u>CODE</u>	<u>BY SOURCE OF FUNDS</u>	<u>AGENCY</u>	<u>MISCELLANEOUS</u>	<u>TOTAL</u>
GN	General Fund	\$0	\$231,105,000	\$231,105,000
TR	Transit Fund	14,659,900	14,552,900	29,212,800
TOTAL		\$14,659,900	\$245,657,900	\$260,317,800
<u>FUNCTION</u>		<u>TOTAL</u>	<u>INTERFUND</u> <u>TRANSFER</u>	<u>NET</u> <u>TOTAL</u>
Utilities or Other Enterprises		\$14,659,900	\$0	\$14,659,900
Miscellaneous		245,657,900	241,136,500	4,521,400
TOTAL		\$260,317,800	\$241,136,500	\$19,181,300



---

## A BILL FOR AN ORDINANCE

---

### SECTION 5. General Provisos.

(a) As used in this Ordinance:

"Agency" includes any department, office, board, commission, or other government unit of the City and County of Honolulu, as the case may be.

"Authority" means the Honolulu Authority for Rapid Transportation.

"Charter" or "RCH" means the Revised Charter of Honolulu 1973, as amended.

"City" means the City and County of Honolulu.

"Council" means the Council of the City and County of Honolulu.

"Government" means the federal government, the Hawaii State government, the government of any other state, any political subdivision of any state, or any quasi-governmental entity.

"ROH" means the Revised Ordinances of Honolulu 1990, as amended.

(b) Limited purpose monies. The Authority may receive monies whose use is specified or otherwise limited by the monies' source from: (1) any governmental or quasi-governmental agency (2) any private source including monetary gifts whose use is specified by the donor or (3) any combination thereof. When such monies are received, the Authority shall maintain special funds or accounts showing the monies so received and specifying the purposes for which they have been received and held.

All such monies specified in Sections 2 and 3 of this ordinance are appropriated in the amounts and for the purposes set forth, and all expenditures shall be made as provided by law. All other such monies not specified in Sections 2 and 3 of this ordinance and which are limited purpose monies are hereby appropriated and may be expended by the Authority for their permitted limited purposes.

(c) At the close of each quarter, the Authority shall submit to the Council a Statement of Cash Balances by Fund showing for each quarter for each individual fund the cash balance at the start of the accounting period and the cash balance at the end of the month of each month of the quarter covered by the report.

(d) No transfer of funds shall be made from any activity unless such transfer complies with the requirements set forth in ROH Section 2-17.2(c).

(e) If any portion of this ordinance or the application thereof to any person or circumstance is held to be invalid for any reason, the Council hereby declares that the remainder of this ordinance and all other provisions thereof shall not be affected thereby. If any portion of a specific



---

## A BILL FOR AN ORDINANCE

---

appropriation is held to be invalid for any reason, the remaining portion shall be independent of the invalid portion and such remaining portion shall be expended to fulfill the objectives of such appropriation to the extent possible.

(f) No monies shall be expended by the Honolulu Authority for Rapid Transportation for any purpose from the Transit Fund or Transit Improvement Bond Fund, unless such monies are first appropriated by the City Council.

SECTION 6. The detailed Statement of Revenues and Surplus contained in the Executive Operating Program for the Fiscal Year July 1, 2015 to June 30, 2016, as transmitted to the Council in the Executive Program and Budget for the Fiscal Year 2016, Volume 1 (Operating Program and Budget), and as amended in any Executive Operating Program amendments, is hereby incorporated by reference and adopted as an integral part of this ordinance. The detailed Statement of Revenues and Surplus contained therein may be further amended regarding any applicable fund by an amendment to the Executive Operating Budget ordinance, Executive Capital Budget ordinance, Legislative Budget ordinance, Operating Budget ordinance for the Honolulu Authority for Rapid Transportation, or Capital Budget ordinance for the Honolulu Authority for Rapid Transportation. In case of any conflict between the substantive provisions of the Executive Operating Program as transmitted and this ordinance or the above budget amendments, the provisions of this ordinance and any of the above budget amendment shall prevail.

SECTION 7. Should monies received from the State of Hawaii for collection of the county surcharge on state general excise and use tax exceed the amounts specified hereinbefore in Sections 1 and 3, the excess is hereby appropriated to the general fund and shall be transferred from the general fund to the transit fund. Within fourteen days of the transfer, the Director of Budget and Fiscal Services shall report to the Council on the excess amount(s) appropriated and transferred to the transit fund.



**CITY COUNCIL**  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

ORDINANCE \_\_\_\_\_

BILL \_\_\_\_\_

---

**A BILL FOR AN ORDINANCE**

---

INTRODUCED BY:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

DATE OF INTRODUCTION:

\_\_\_\_\_  
Honolulu, Hawaii

\_\_\_\_\_  
Councilmembers

APPROVED AS TO FORM AND LEGALITY:

\_\_\_\_\_  
Deputy Corporation Counsel

APPROVED this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

\_\_\_\_\_  
KIRK CALDWELL, Mayor  
City and County of Honolulu





**CITY COUNCIL**  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

ORDINANCE \_\_\_\_\_

BILL \_\_\_\_\_

---

## A BILL FOR AN ORDINANCE

---

RELATING TO THE HONOLULU AUTHORITY FOR RAPID TRANSPORTATION CAPITAL BUDGET AND PROGRAM FOR THE FISCAL YEAR JULY 1, 2015 TO JUNE 30, 2016.

BE IT ORDAINED by the People of the City and County of Honolulu:

SECTION 1. The revenues from the following sources estimated for the fiscal year July 1, 2015 to June 30, 2016 are hereby provided and appropriated for the purposes set forth in Section 2:

FUND CODE	SOURCE OF FUNDS	AMOUNT	TOTAL
<b>FEDERAL FUNDS</b>			
FG	Federal Grants Fund	\$ 126,674,910	\$ 126,674,910
<b>GENERAL OBLIGATION BONDS:</b>			
TF	Transit Improvement Bond Fund	\$ 266,017,311	\$ 266,017,311
<b>TRANSIT FUND:</b>			
TR	Transit Fund	\$ 29,557,479	\$ 29,557,479
<b>TOTAL ALL FUNDS</b>			<u><u>\$ 422,249,700</u></u>



**CITY COUNCIL**  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

ORDINANCE \_\_\_\_\_

BILL \_\_\_\_\_

**A BILL FOR AN ORDINANCE**

SECTION 2. The monies described in Section 1 for the fiscal year July 1, 2015 to June 30, 2016 are appropriated as indicated to the following projects and public improvements in the UTILITIES OR OTHER ENTERPRISES function. Nothing in this section shall be construed as restricting the allocation of monies among the work phase appropriations (e.g., planning, design, and construction).

PROJECT NUMBER	FUNCTIONS, PROGRAMS & PROJECTS	WORK PHASE	SOURCE OF FUNDS	TOTAL ALL FUNDS
<b>UTILITIES OR OTHER ENTERPRISES</b>				
<b>MASS TRANSIT</b>				
<b>HONOLULU AUTHORITY FOR RAPID TRANSPORTATION</b>				
2007005	HONOLULU HIGH CAPACITY TRANSIT PROJECT	- L	126,674,910 FG	422,249,700
		521,000 P	266,017,311 TF	
	Plan, design, construct, inspect, relocate, acquire land,	1,400,000 D	29,557,479 TR	
	equipment and artwork for the Locally Preferred Alternative.	170,328,700 C		
		- I		
		- E		
		- R		
		250,000,000 X		
		- A		
TOTAL UTILITIES OR OTHER ENTERPRISES		\$ 422,249,700	\$ 422,249,700	\$ 422,249,700



**CITY COUNCIL**  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

ORDINANCE \_\_\_\_\_

BILL \_\_\_\_\_

---

## A BILL FOR AN ORDINANCE

---

PROJECT NUMBER	FUNCTIONS, PROGRAMS & PROJECTS	WORK PHASE	SOURCE OF FUNDS	TOTAL ALL FUNDS
UTILITIES OR OTHER ENTERPRISES				
SOURCE OF FUNDS				
	FG Federal Grants Fund		\$ 126,674,910	
	TF Transit Improvement Bond Fund		266,017,311	
	TR Transit Fund		29,557,479	
	TOTAL SOURCE OF FUNDS		<u>\$ 422,249,700</u>	
WORK PHASE				
	L Land		\$ -	
	P Planning		521,000	
	D Design		1,400,000	
	C Construction		170,328,700	
	I Inspection		-	
	E Equipment		-	
	R Relocation		-	
	X Other		250,000,000	
	A Art		-	
	TOTAL WORK PHASES		<u>\$ 422,249,700</u>	



---

## A BILL FOR AN ORDINANCE

---

### SECTION 3. General Provisos.

(a) As used in this Ordinance:

"Authority" means the Honolulu Authority for Rapid Transportation.

"Council" means the Council of the City and County of Honolulu.

"Government" means the federal government, the Hawaii State government, the government of any other state, any political subdivision of any state, or any quasi-governmental entity.

(b) Limited purpose monies. The Authority may receive monies whose use is specified or otherwise limited by the monies' source from: (1) any governmental or quasi-governmental agency; (2) any private source including monetary gifts whose use is specified by the donor; or (3) any combination thereof. When such monies are received, the Authority shall maintain special funds or accounts showing the monies so received and specifying the purposes for which they have been received and held.

All such monies specified in Section 2 of this ordinance are appropriated in the amounts and for the purposes set forth, and all expenditures shall be made as provided by law. All other such monies not specified in Section 2 of this ordinance and which are limited purpose monies are hereby appropriated and may be expended by the Authority for their permitted limited purposes.

The Authority shall report to the Council no later than 30 days after June 30 detailing, for the capital budget fiscal year just ended, the amount of any excess monies received, and the function, program, and project to which the monies have been allotted for expenditure.

(c) At the close of the each quarter, the Authority shall submit to the Council a Statement of Cash Receipts and Disbursements showing for each quarter for each individual fund the cash balance at the start of the accounting period, the cash receipts and disbursements during the period, and the cash balance at the end of the month of each month of the quarter covered by the report.

(d) Any appropriation authorized in this ordinance or any amendment thereto shall be valid for the fiscal year July 1, 2015 to June 30, 2016 and twelve months thereafter. Any part of such appropriation which is not expended or encumbered shall lapse on June 30, 2017.



---

## A BILL FOR AN ORDINANCE

---

(e) If any portion of this ordinance or the application thereof to any person or circumstance is held to be invalid for any reason, the Council hereby declares that the remainder of this ordinance and all other provisions thereof shall not be affected thereby. If any portion of a specific appropriation is held to be invalid for any reason, the remaining portion shall be independent of the invalid portion and such remaining portion shall be expended to fulfill the objectives of such appropriation to the extent possible.

(f) No monies shall be expended by the Honolulu Authority for Rapid Transportation for any purpose from the Transit Fund or Transit Improvement Bond Fund, unless such monies are first appropriated by the City Council.

(g) The project number is included for accounting purposes only. If there is a conflict between the number and the project title in this ordinance, the project title shall prevail.

SECTION 4. The detailed Statement of Revenues and Surplus contained in the Executive Operating Program for the Fiscal Year July 1, 2015 to June 30, 2016, as transmitted to the Council in the Executive Program and Budget for the Fiscal Year 2016, Volume 1 (Operating Program and Budget), and as amended in any Executive Operating Program amendments, is hereby incorporated by reference and adopted as an integral part of this ordinance. The detailed Statement of Revenues and Surplus contained therein may be further amended regarding any applicable fund by any amendment to the Executive Operating Budget ordinance, Executive Capital Budget ordinance, Legislative Budget ordinance, Operating Budget ordinance for the Honolulu Authority for Rapid Transportation, or Capital Budget ordinance for the Honolulu Authority for Rapid Transportation. In case of any conflict between the substantive provisions of the Executive Operating Program as transmitted and this ordinance or the above budget amendments, the provisions of this ordinance and any of the above budget amendments shall prevail.



**CITY COUNCIL**  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

ORDINANCE \_\_\_\_\_

BILL \_\_\_\_\_

---

**A BILL FOR AN ORDINANCE**

---

Section 5. This ordinance takes effect on July 1, 2015.

INTRODUCED BY:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

DATE OF INTRODUCTION:

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Honolulu, Hawaii

\_\_\_\_\_  
Councilmembers

APPROVED AS TO FORM AND LEGALITY:

\_\_\_\_\_  
Deputy Corporation Counsel

APPROVED this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

\_\_\_\_\_  
KIRK CALDWELL, Mayor  
City and County of Honolulu